

Evidence-based Software Process Recovery

Abram Hindle

Software Architecture Group
David R. Cheriton School of Computer Science
University of Waterloo
Canada

<http://swag.uwaterloo.ca/~ahindle/>

ahindle@cs.uwaterloo.ca

What are we going to do?

Theory

Business Modeling

Requirements

Analysis & Design

Implementation

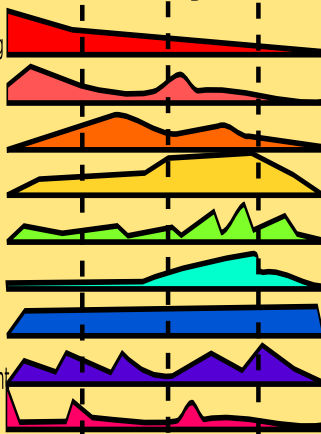
Test

Deployment

CM and SCS

Project Mangement

Environment



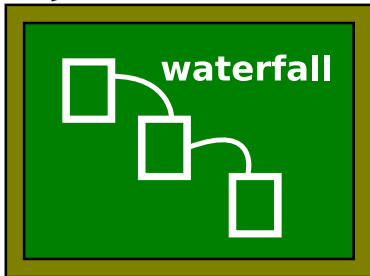
Practice



prescribed processes

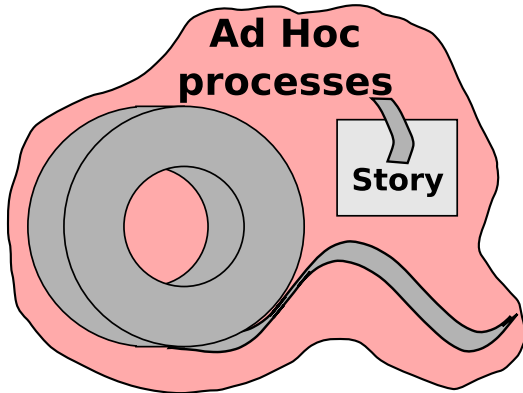
Rx

- Test First
- Scrums
- Story Cards

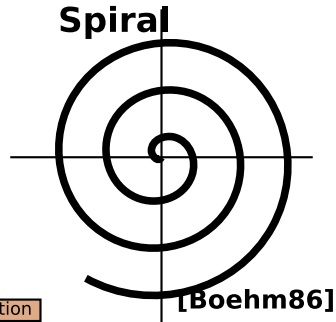
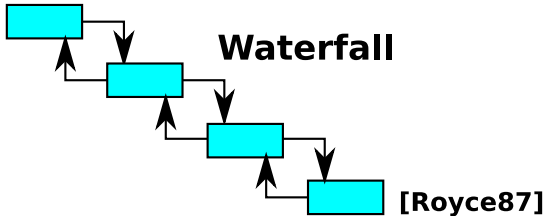


Formal Processes

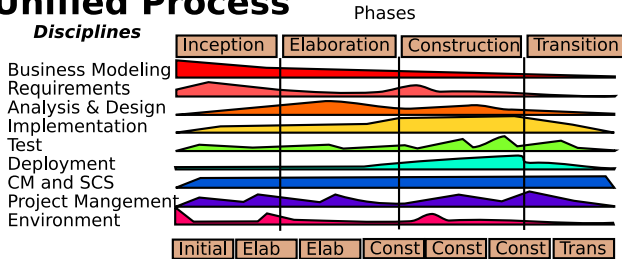
Process



Software Development Processes

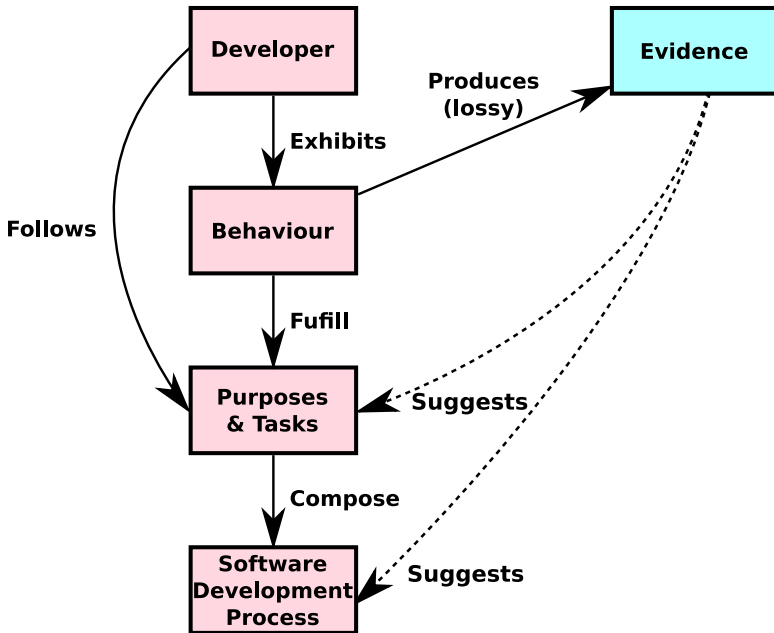


Unified Process

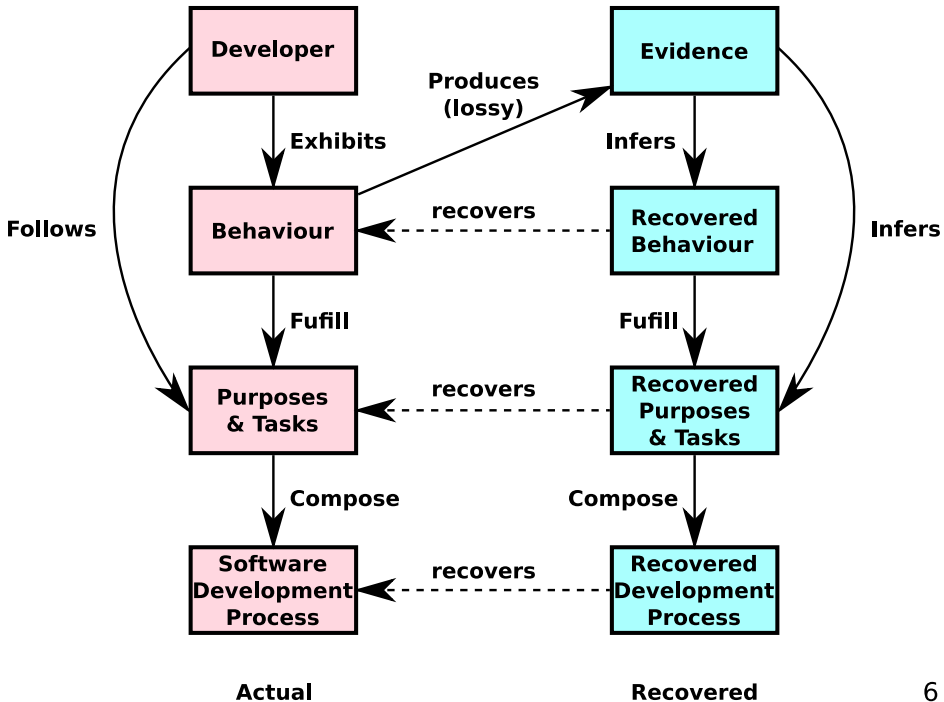


[Jacobson99]







* **CMM**
* **SDLC**



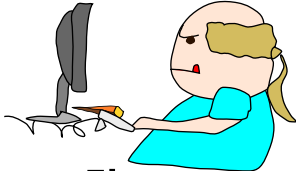
Actual



Research Relationships

	Behaviour	Intent, Purpose and Tasks	Software Development Process
Release Patterns: Source/Test/ Build/Docs			
Large Changes			
Topic Analysis			
Recovered Unified Process Views			

Motivation: Stakeholders



**Fixers or
Star Programmers**



**Investors and
Acquisitions**



Managers



New Developers

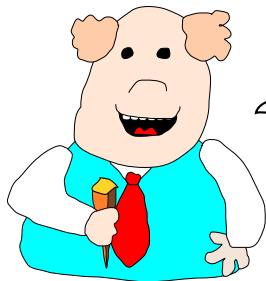
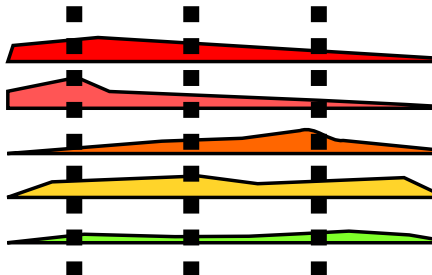
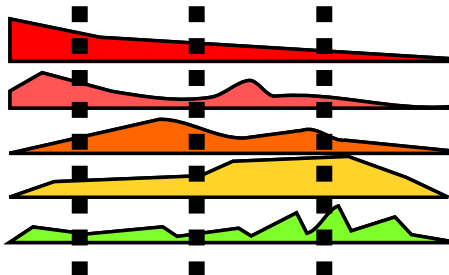


**Employees assigned
to a ISO9000
conformance project**

Proposed Process

Recovered Process

Workflows

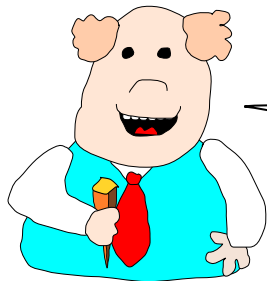
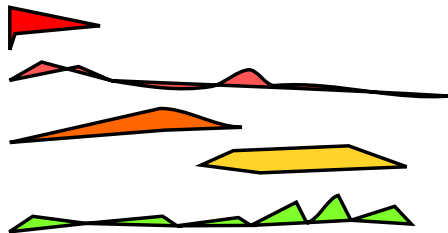


**Is my proposed
process actually
being used?**

Proposed and Recovered
Process Overlaid

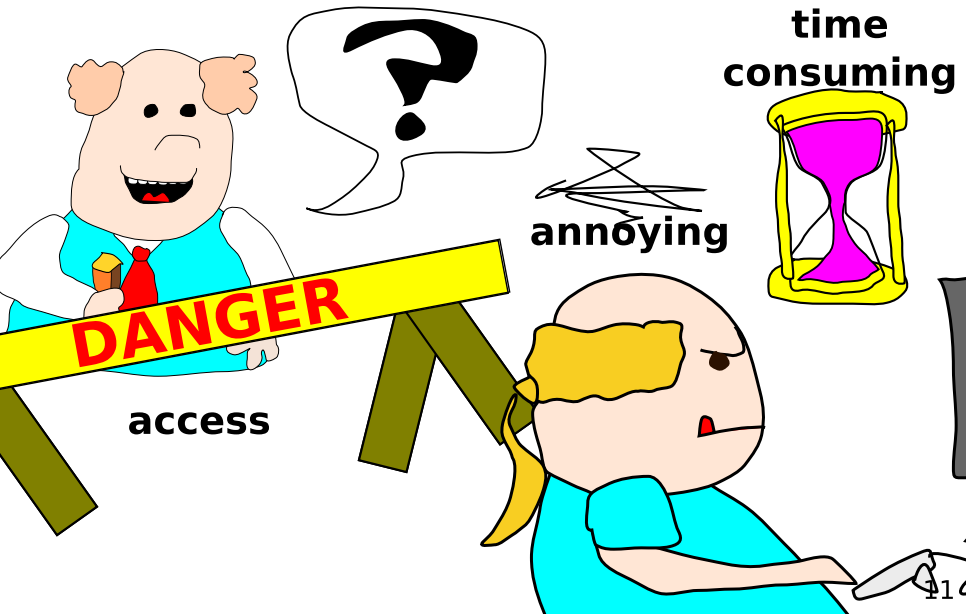


Differences between
Proposed and Recovered

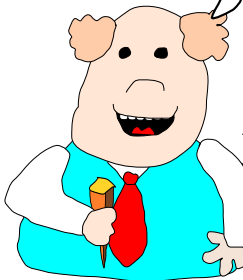


**I can compare
and contrast the
observed process
versus the
expected process!**

How to get an overview: Interviews

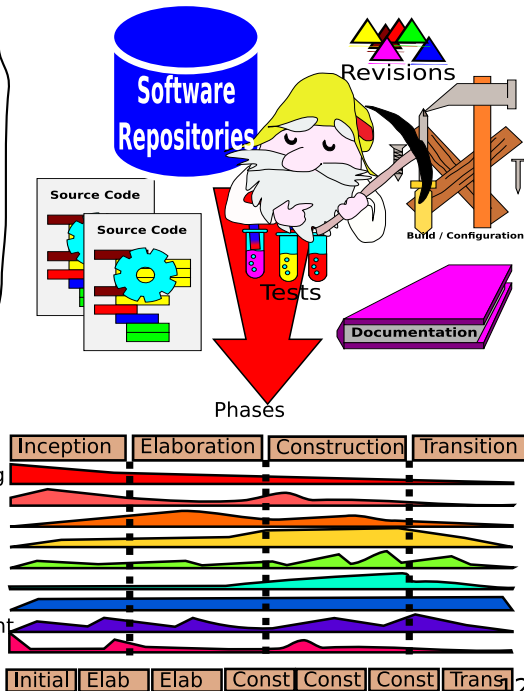


Can't we just summarize what is going on within this project?



Disciplines

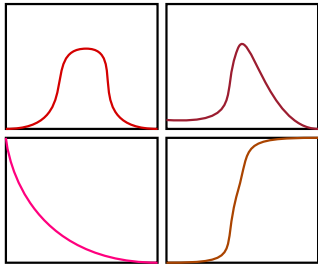
Business Modeling
Requirements
Analysis & Design
Implementation
Test
Deployment
CM and SCS
Project Mangement
Environment



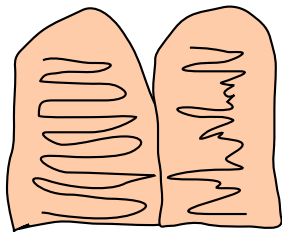
PREVIOUS WORK

RELATED RESEARCH

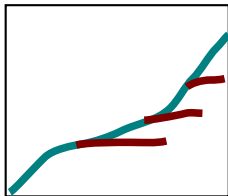
Stochastic Processes



[Herraiz]



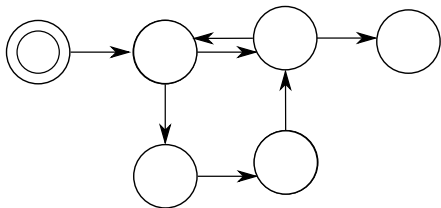
[Lehman]



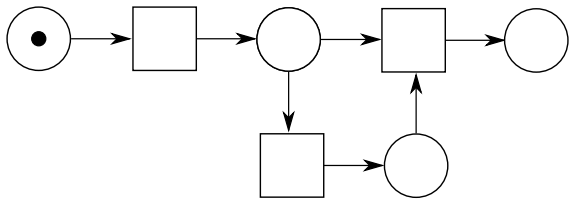
**[Turksi]
[Tu]**

Business Processes

Finite State
Machines



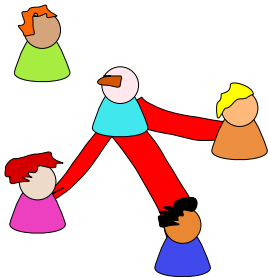
Petrinets



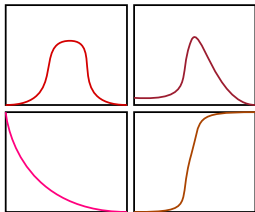
Execution of business goals

[Van der Aalst]

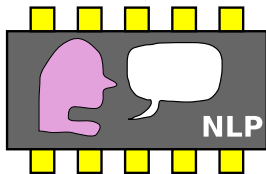
Analysis



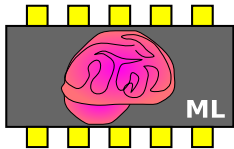
SNA



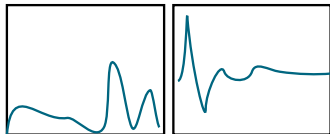
Statistics



NLP

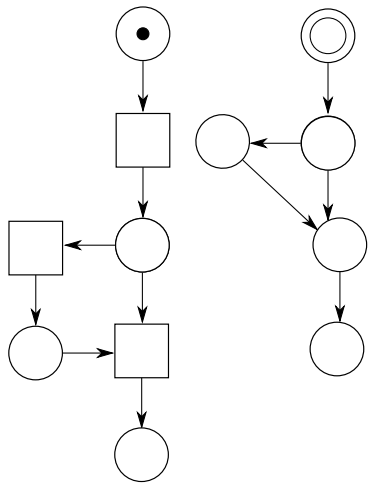


ML



Timeseries

Process Mining



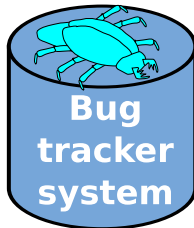
Petrinet FSM

[Van der Aalst 2003]

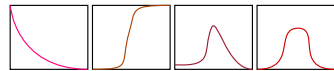


GG

Process Recovery



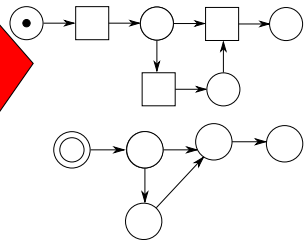
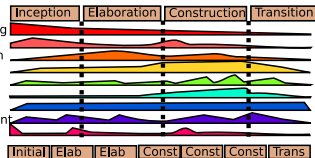
**after
the fact**



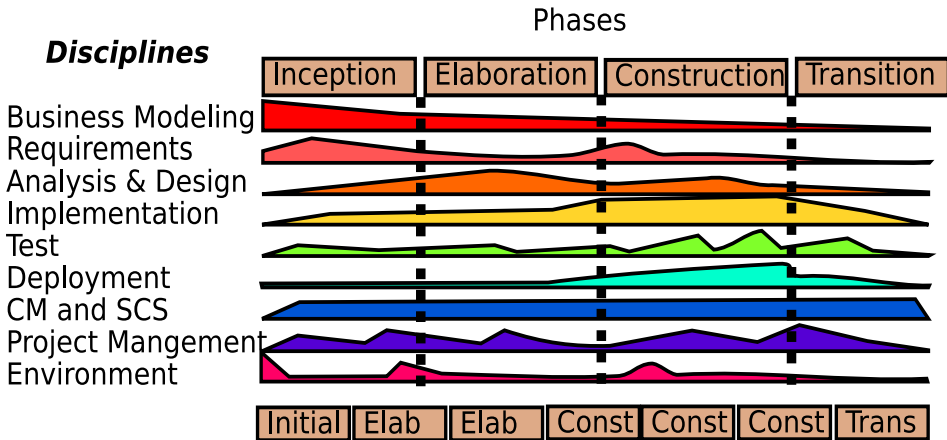
Phases

Disciplines

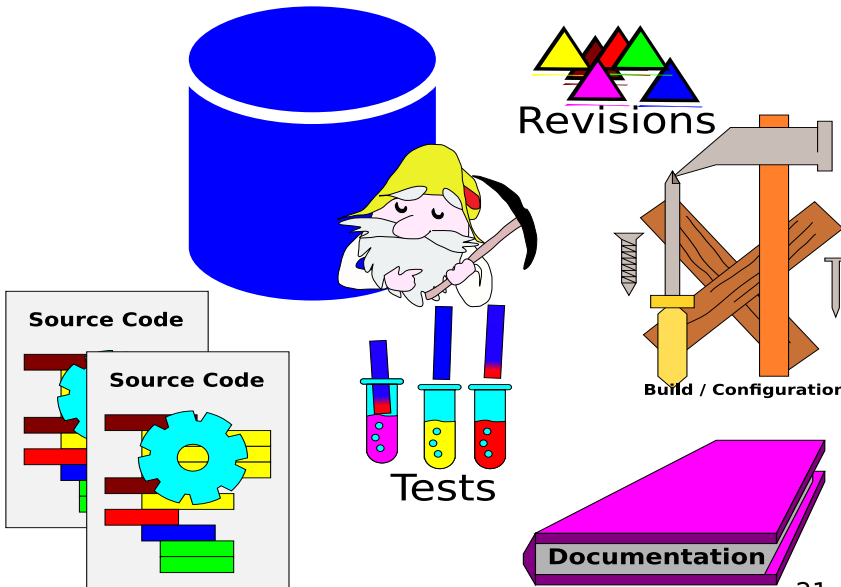
Business Modeling
Requirements
Analysis & Design
Implementation
Test
Deployment
CM and SCS
Project Mangement
Environment



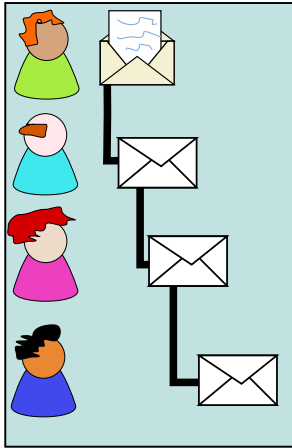
Unified Process Diagram



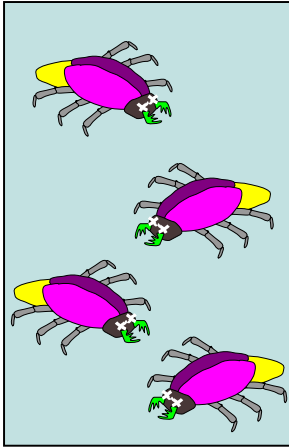
Mining Software Repositories



Source Acquisition



discussions



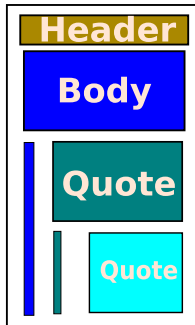
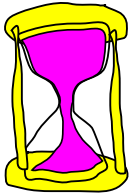
bugs



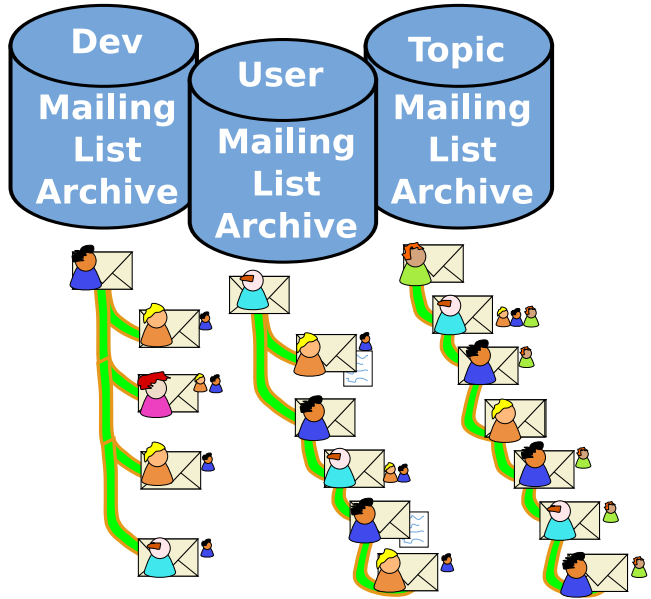
source

Initial Repositories and artifacts

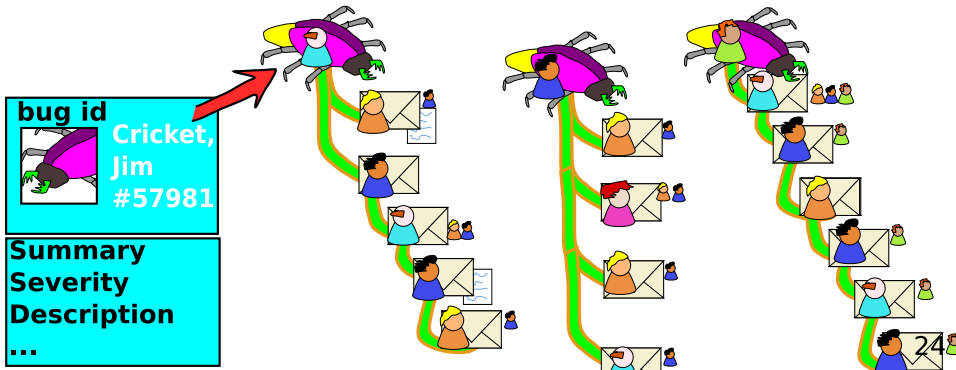
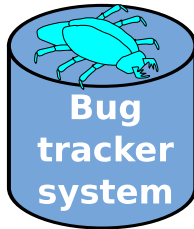
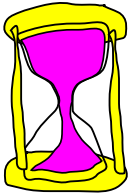
Extraction: Mailing list archives



Email



Extraction: Bug trackers



bug id

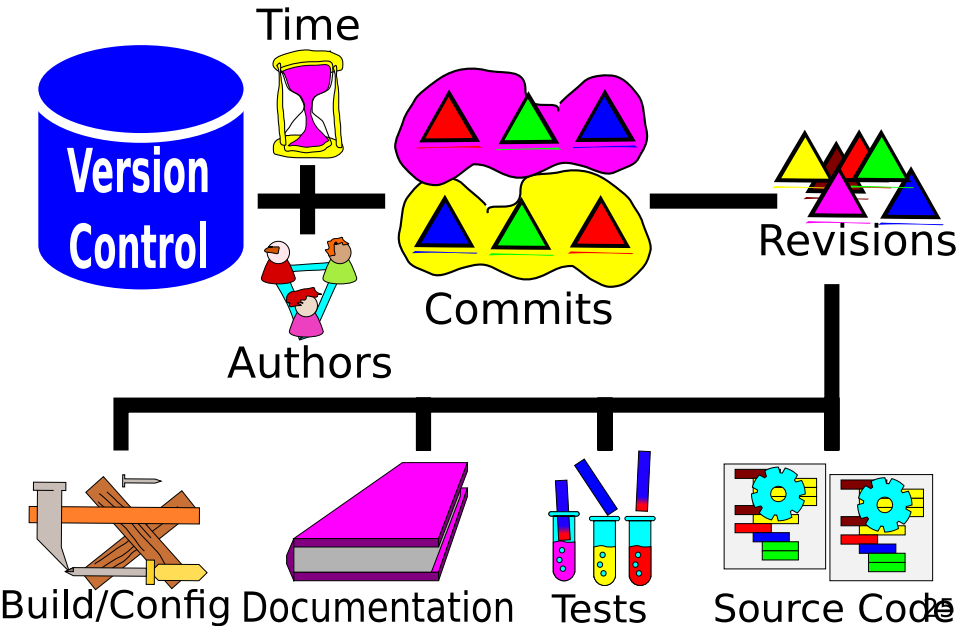


Cricket,
jim
#57981

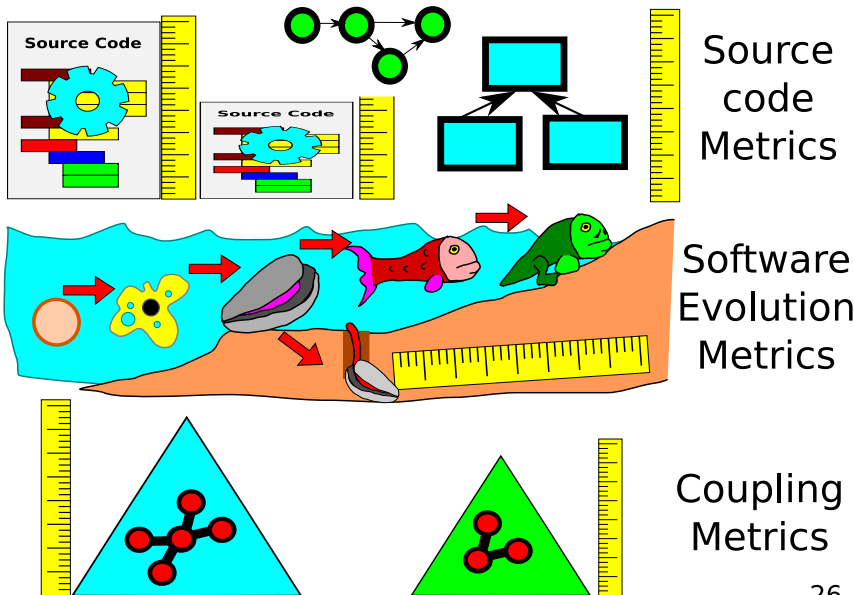
Summary
Severity
Description

...

Extraction: Version Control



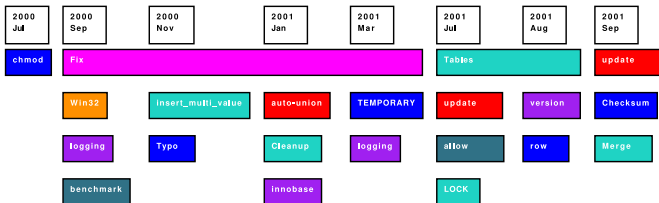
Metrics



Topic/Concept Analysis

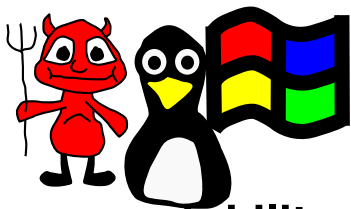
	Entity1	Entity2	Entity3	Entity4	Entity5	Entity6	Entity7	Entity8
Concept 1								
Concept 2								
Concept 3								
Concept 4								
Concept 5								

[Lukins]
[Linstead]
[Maletic]
[Poshyvanik]
[Marcus]
[Hindle]



Quality Related

Non functional requirements



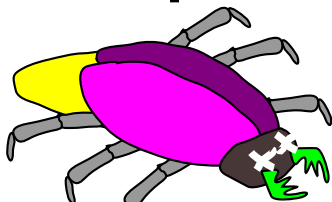
portability



usability



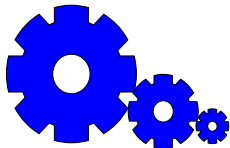
maintainability



**reliability and
functionality**

(includes correctness)

efficiency

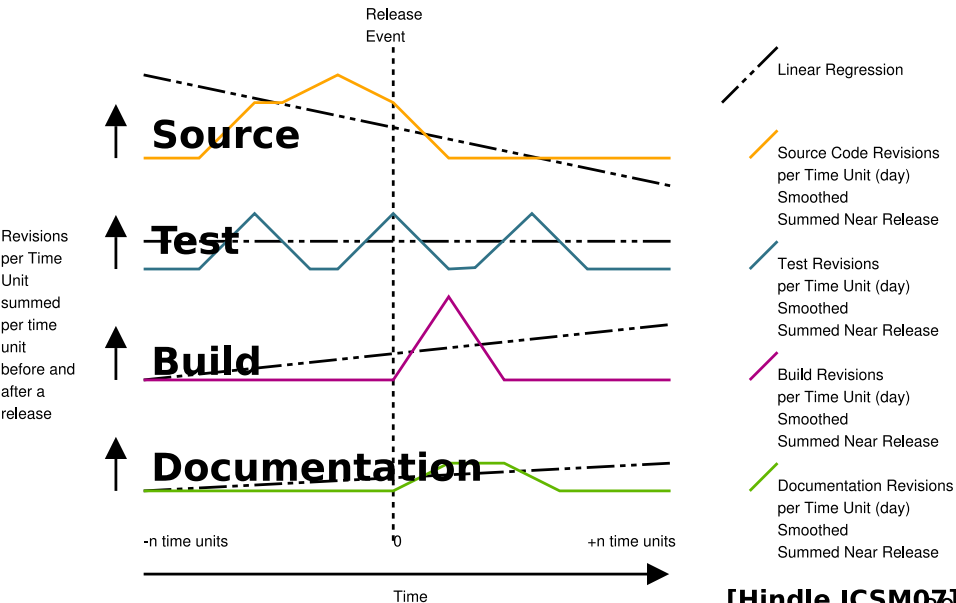


[cleland-huang03]

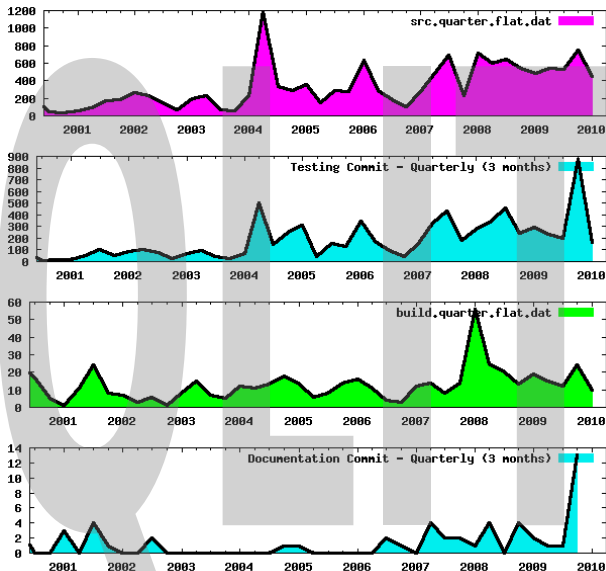
[ernst10]

SOFTWARE PROCESS RECOVERY

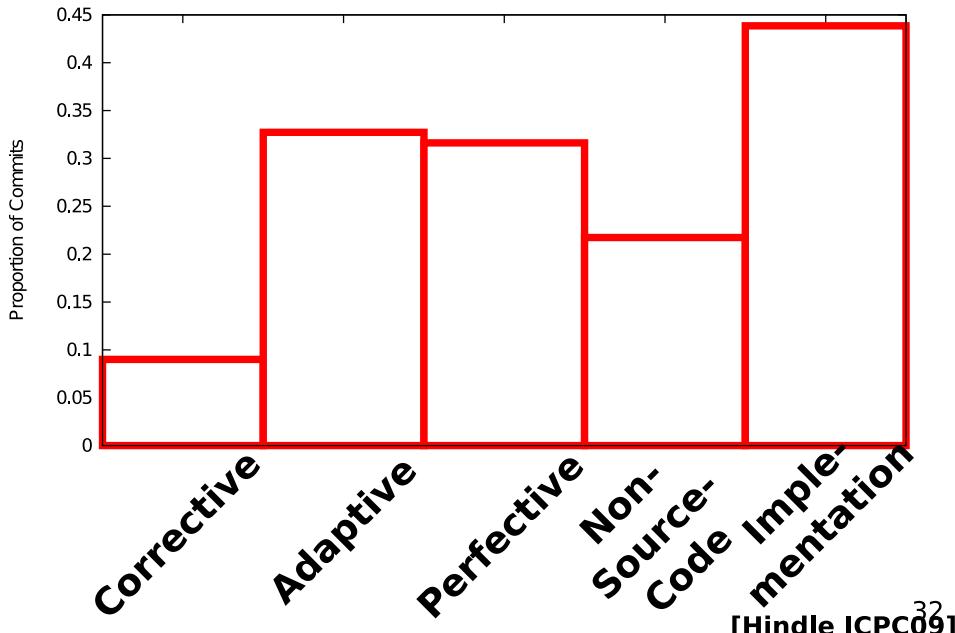
Release Patterns: STBD



STBD applied to SQLite

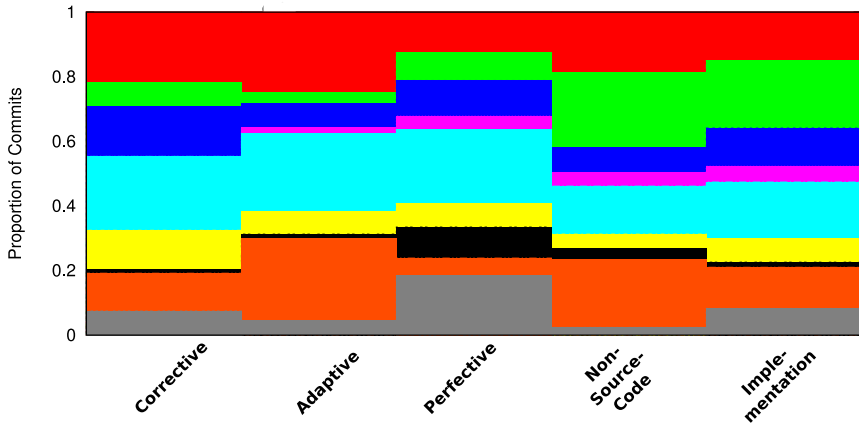


Maintenance Classes of Large Changes



Supervised: Maintenance Classes

Proportional Distribution of Extended Swanson Maintenance Classes



[hindle icpc09]

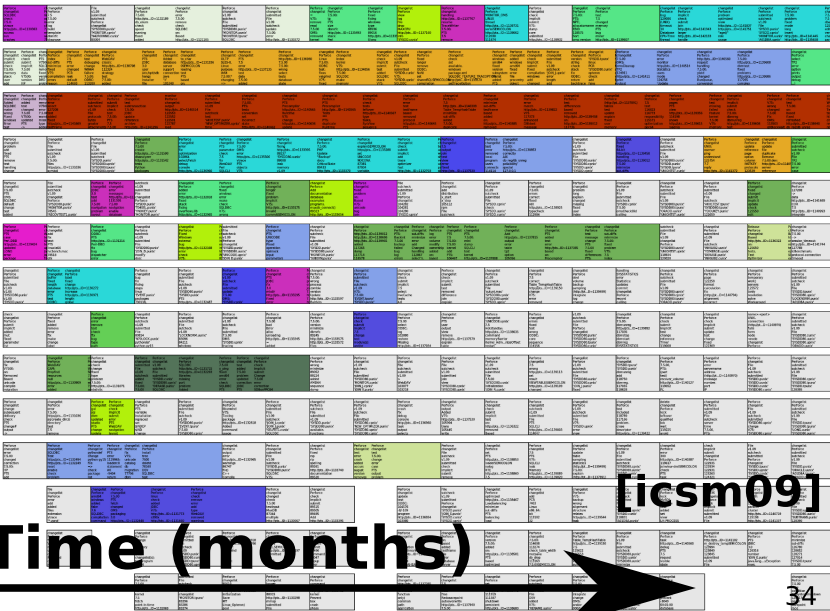
Boost
EGroupware
Enlightenment

Extended Swanson Categories
Evolution
Firebird
MySQL 5.0

PostgreSQL
Samba
Spring Framework

Developer Topics

2004 Jun 2004 Jul 2004 Aug 2004 Sep 2004 Oct 2004 Nov 2004 Dec 2005 Jan 2005 Jun 2005 Jun 2005 Aug 2005 Oct 2005 Nov 2005 Dec 2006 Jan 2006 Feb 2006 Mar 2006 Apr 2006 Ma



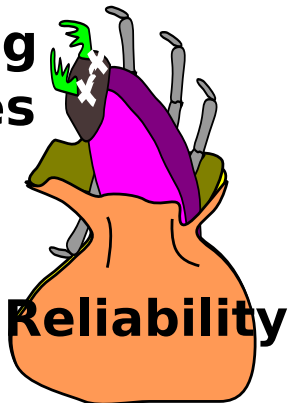
Word Bag Examples



Portability

portability
transferability
interoperability
documentation
internationalization
i18n

...



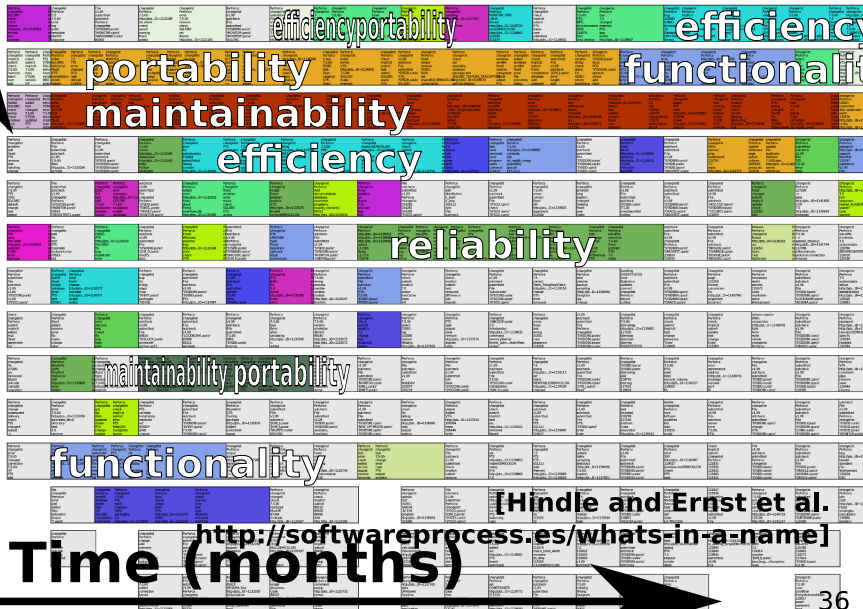
Reliability

reliability
failure
error
redundancy
fails
bug

...

Labelled Developer Topics

[2004 Jun | 2004 Jul | 2004 Aug 2004 Sep 2004 Oct 2004 Nov 2004 Dec 2005 Jan | 2005 Jun | 2005 Jul | 2005 Aug 2005 Oct 2005 Nov 2005 Dec 2006 Jan | 2006 Feb 2006 Mar 2006 Apr | 2006 May 2006



Recovered Unified Process Views

Theory

Business Modeling

Requirements

Analysis & Design

Implementation

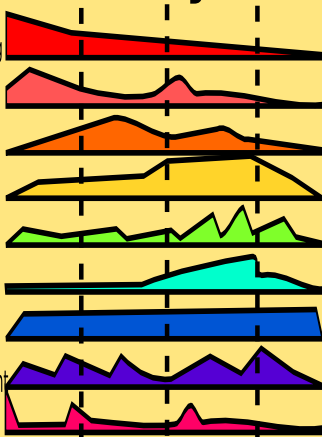
Test

Deployment

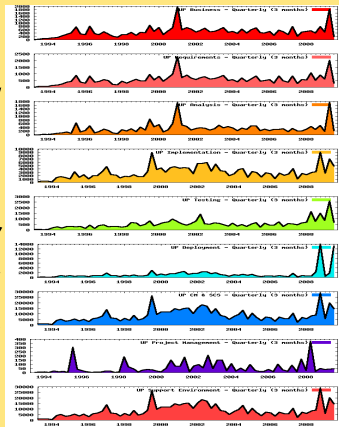
CM and SCS

Project Management

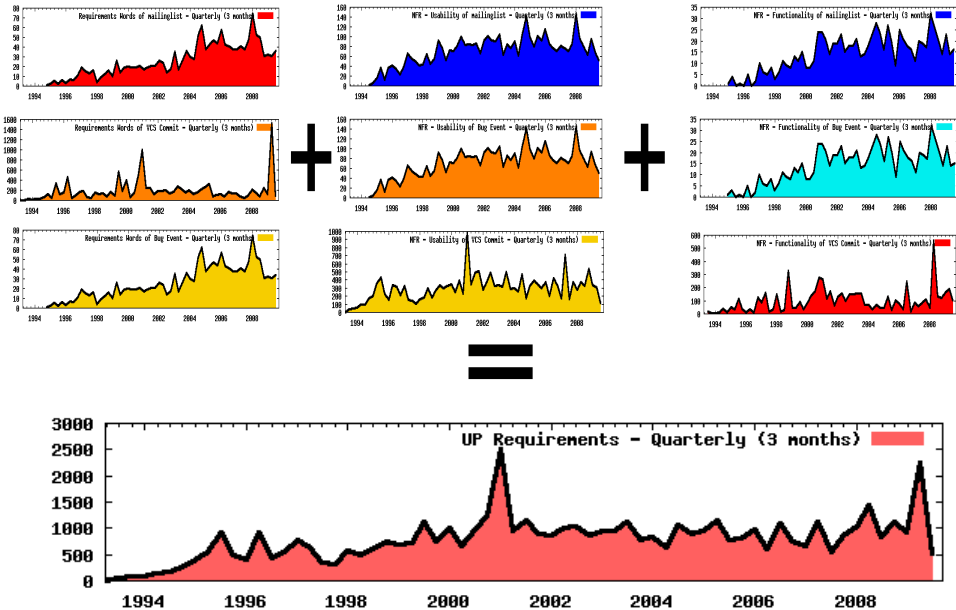
Environment



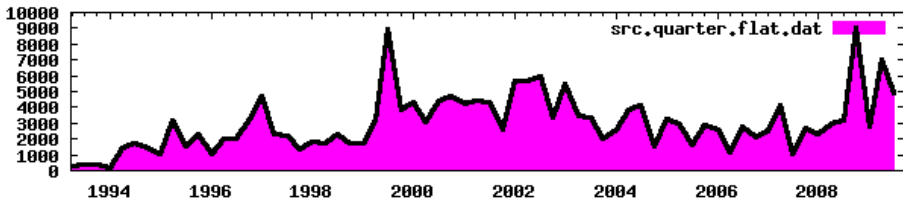
Practice



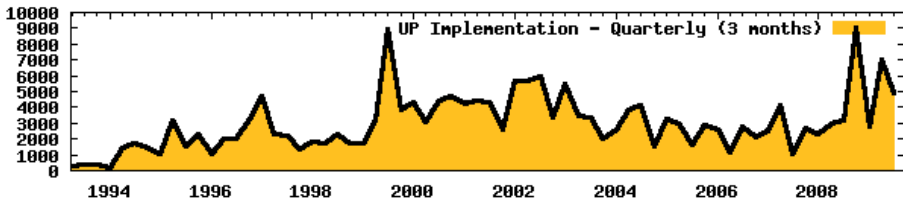
UP Requirements Signal



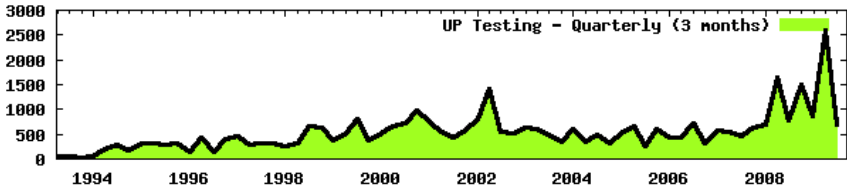
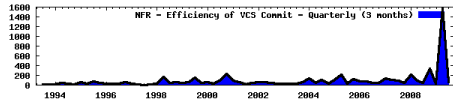
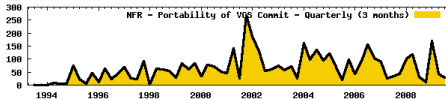
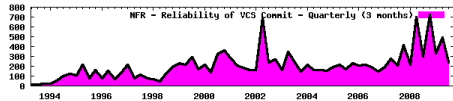
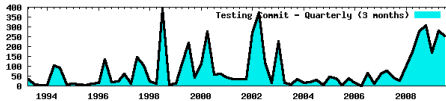
UP Implementation Signal



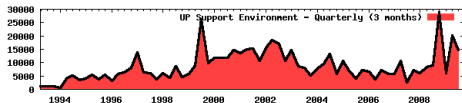
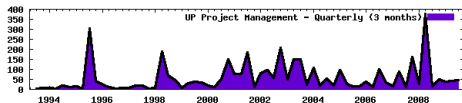
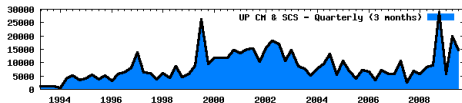
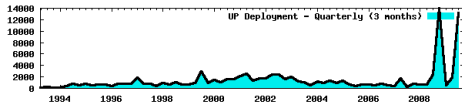
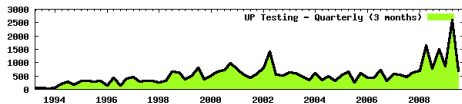
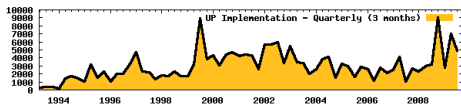
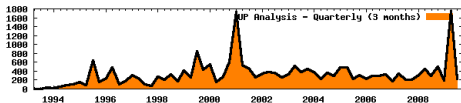
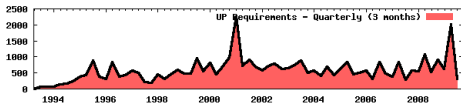
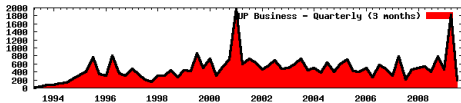
=



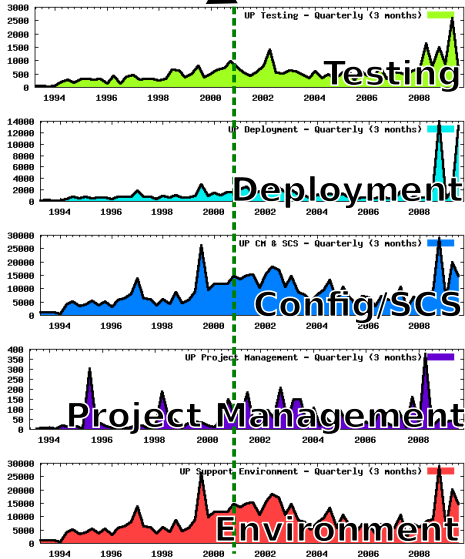
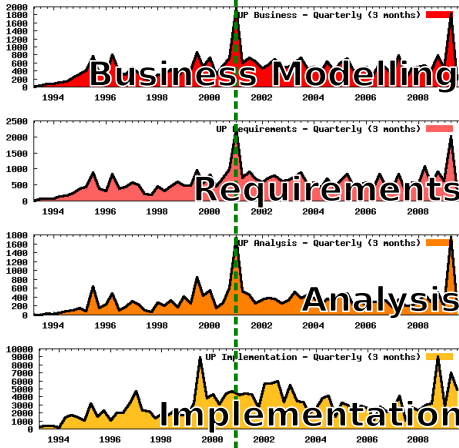
UP Testing Signal



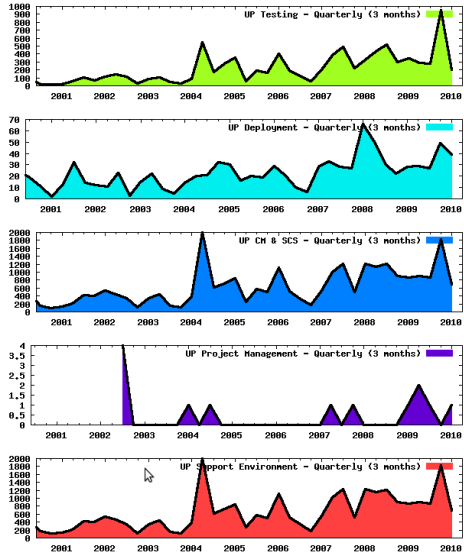
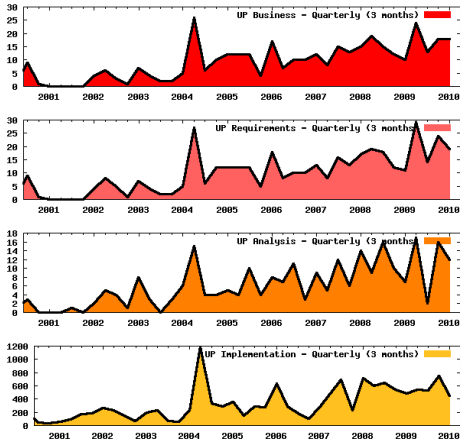
FreeBSD Case Study



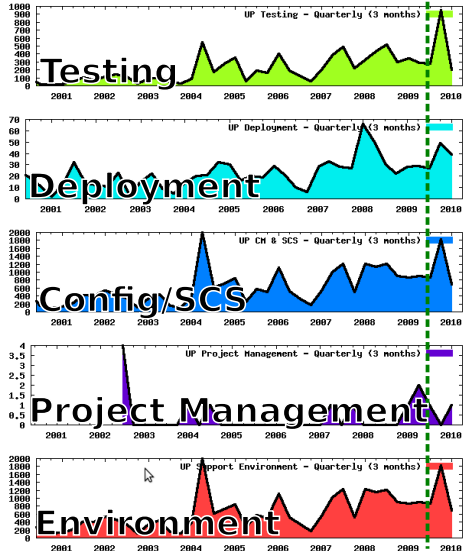
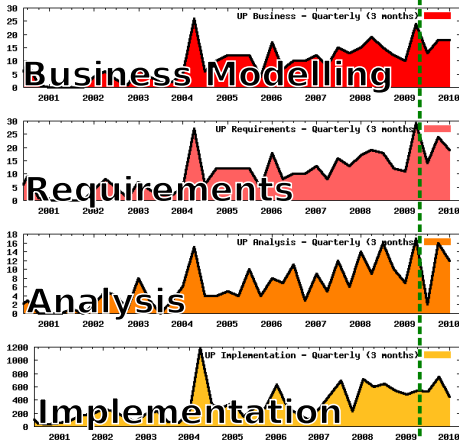
FreeBSD Case Study: 2001



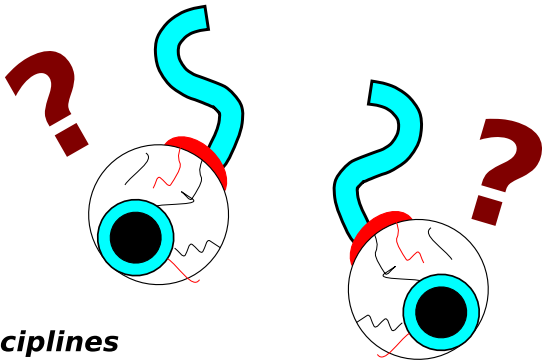
SQLite Case Study



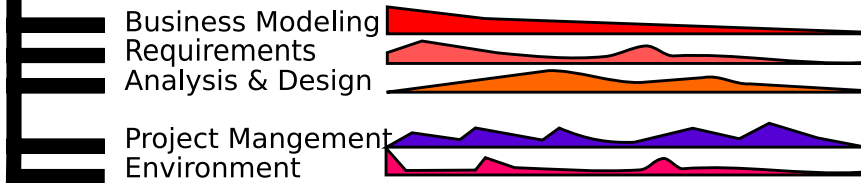
SQLite Case Study: 2009



UP Observability



Disciplines



Is

ternal

Idioms

FILES

*.tex *.doxygen Makefile,*
INSTALL doc/ Makefile
README configure
AUTHORS *.configure,*
TODO

Documentation

build.xml
setup.hs
.test
setup.py

*.txt
*.hs
*.ml
*.tcl
*.c
*.C
*.pl
*.rb
*.php
*.lisp
*.cpp
*.java
*.scm
*.py
*.sql
*.pl
*.pm
*.test
Test

Source Code

Source Code

Source Code

Test

The diagram shows a large, irregular purple shape representing the overall project context. At the top left, the text "External Project Language" is written in bold black font. At the bottom left, the text "Internal Project Language" is written in bold black font. In the center, there are two smaller, rounded rectangular boxes. The left box is blue and labeled "Project" in white bold font. The right box is purple and labeled "Project" in white bold font. Above the blue box, a speech bubble contains the word "vocabulary" in black font. Above the purple box, a speech bubble contains the word "vocabulary" in black font. A larger speech bubble, containing the words "shared vocabulary" in black font, is positioned between the two project boxes. In the top right corner, outside the main purple shape, is a small illustration of a red and yellow striped object, possibly a spool of thread or a stack of papers.

Shared Terms

The diagram is titled "Shared Terms" in a large, bold, black font at the top. Below the title, there are six icons arranged in two rows of three. Each icon is placed above a brown sack, and the name of the concept is written in bold black text below each sack. The icons are: 1. A pink hand (Usability), 2. Two crossed tools (Maintainability), 3. A colorful ship (Portability), 4. A purple car (Reliability), and 5. Two interlocking gears (Efficiency). The entire diagram is set against a light orange background with a wavy border.

Usability

Maintainability

Portability

Reliability

Efficiency

Future Work



People
and
teams

An icon representing people and teams, featuring four stylized human figures in blue, green, yellow, and pink, set against a brown, irregular background.



Validation

An icon representing validation, featuring a purple, irregular background with various elements: three people icons with question marks, a 'Unknown Project' label, a 'MSP Bench' label, and a series of yellow hard hats and red gears.



Accuracy

An icon representing accuracy, featuring a brown, irregular background with a red target symbol (a circle with a crosshair).



Industrial

An icon representing industrial, featuring a green, irregular background with a brown factory building and a black smokestack emitting smoke.

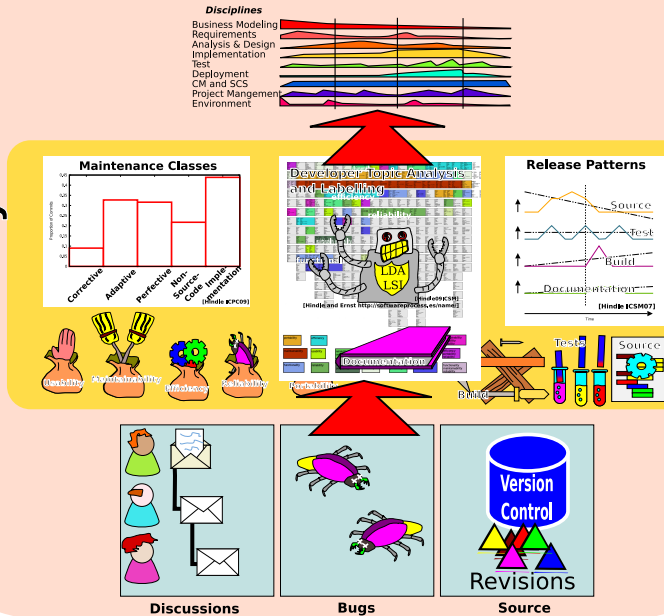


Iteration
Identification

An icon representing iteration identification, featuring a purple, irregular background with a series of concentric orange dashed circles.

Software Process Recovery

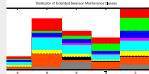
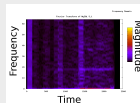
Conclusions



Research Timeline

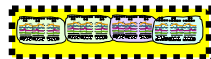
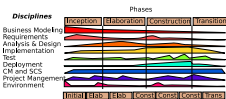
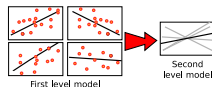
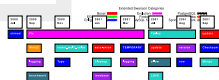
The Past

- YARN - visualization [VISSOFT 2007]
- Release Discovery (MLM) [MSR & ICSM 2007]
- evolution metrics [ICPC/SCAM 2008, SSP]
- study of large changes [MSR 2008]
- change classification [ICPC 2009]
- recurrent behaviour [ICSE 2009]
- topic analysis [ICSM 2009]
- RUPV [ICSM 2010]
- Topic Naming [ICSE Submission]

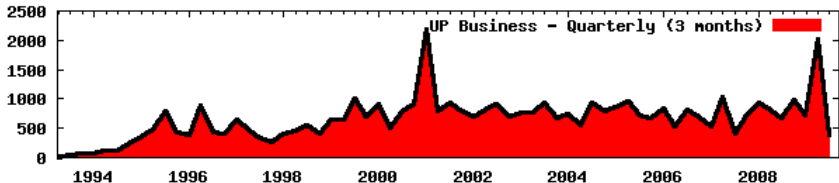
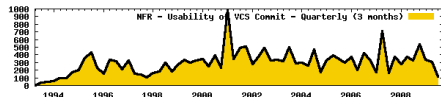
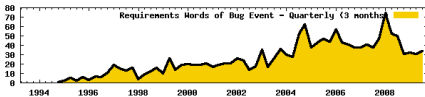
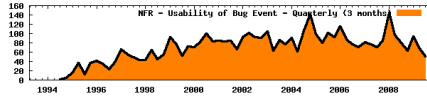
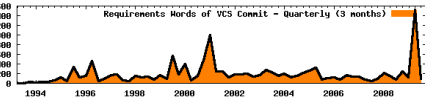
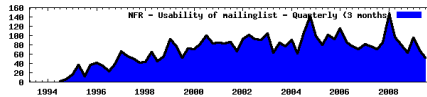
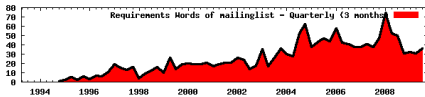


Present and Future

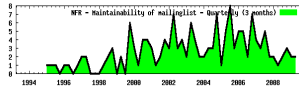
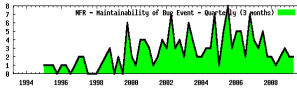
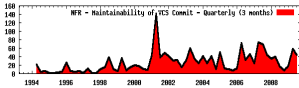
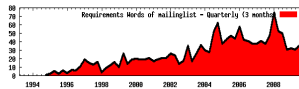
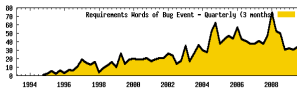
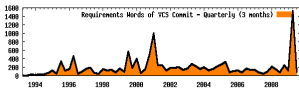
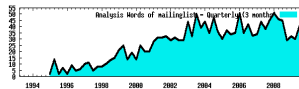
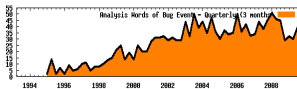
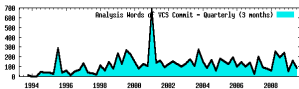
- finish Topic Naming paper [writeup]
- finished MLM journal paper [casestudy]
- slicing [writeup]
- multi-timeline correlation [some imp]
- Unified process diagram summary [imp]
- phase & iteration identification [imp]
- Thesis



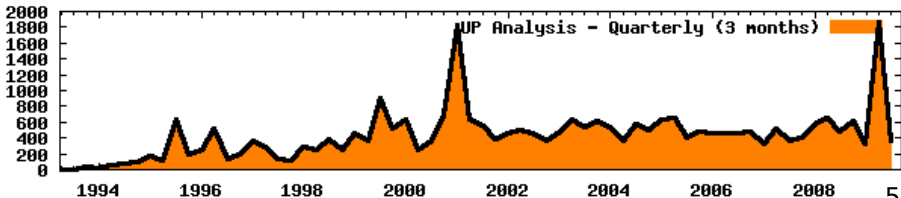
UP Business Modelling Signal



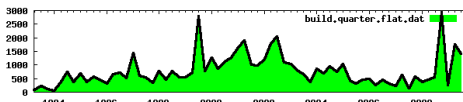
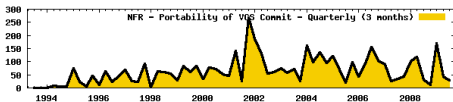
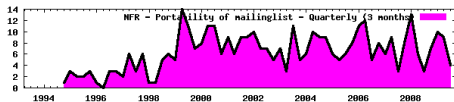
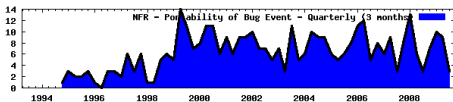
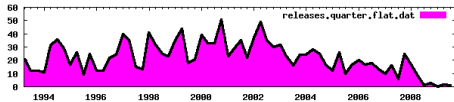
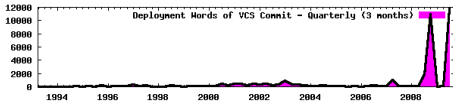
UP Analysis Signal



=

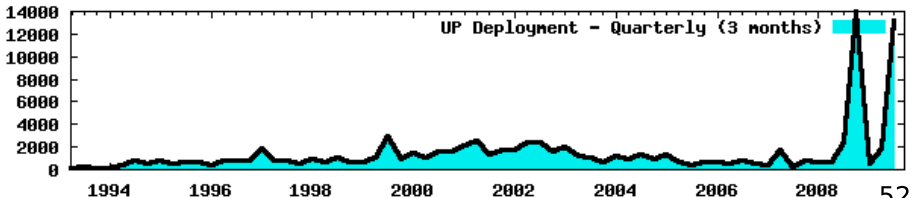


UP Deployment Signal

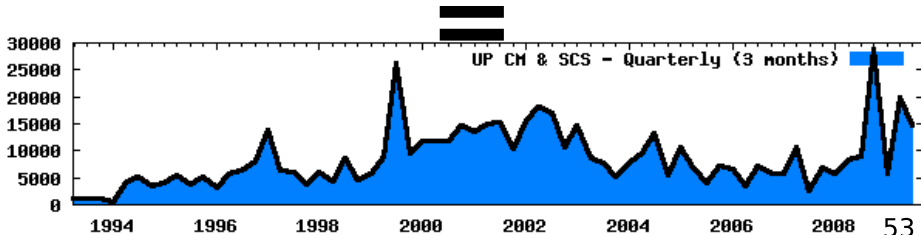
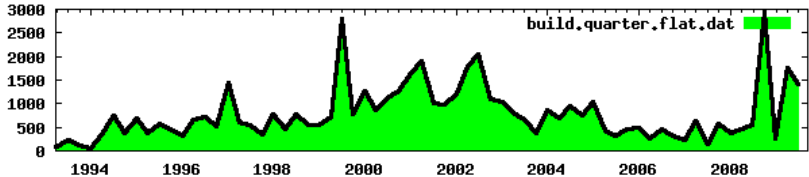
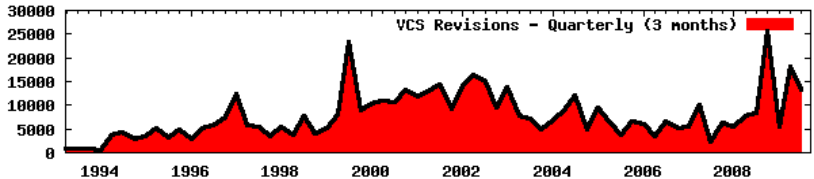


■■■■

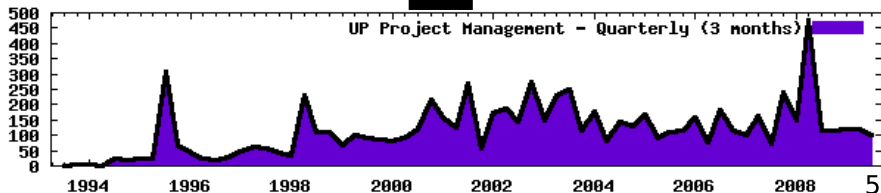
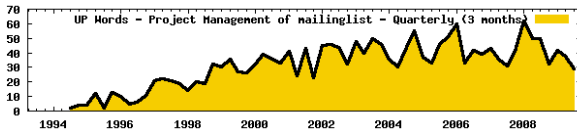
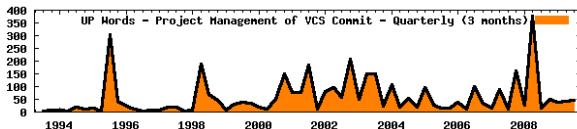
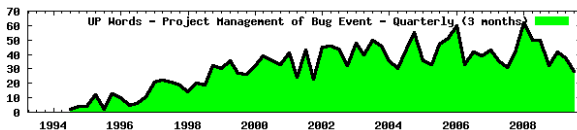
■■■■



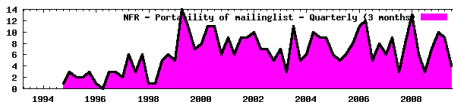
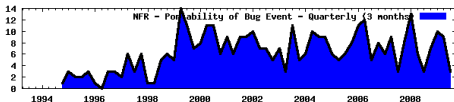
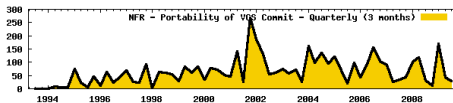
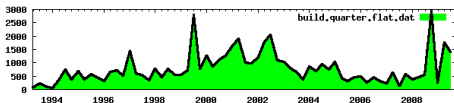
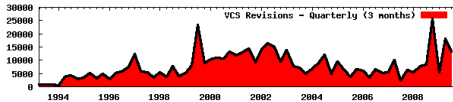
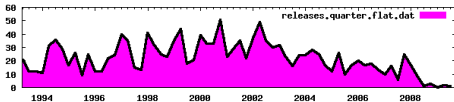
UP Configuration Managment and SCS



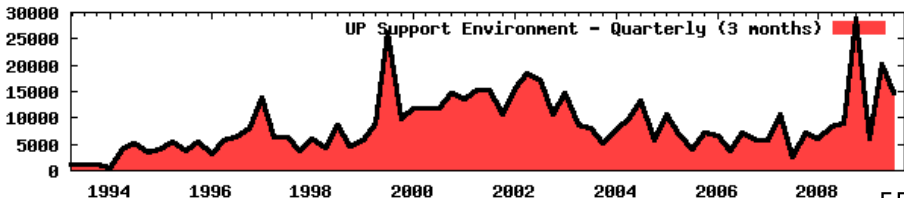
UP Project Management Signal

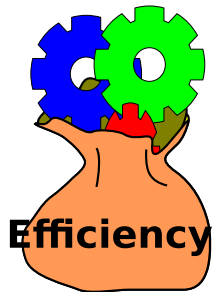
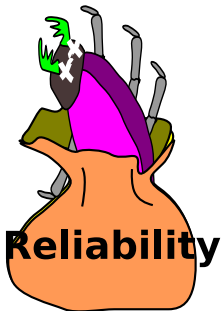
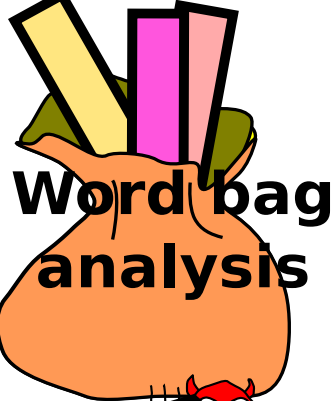


UP Environment Signal

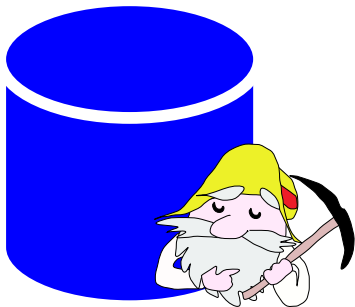


==





Prediction

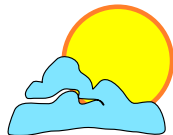
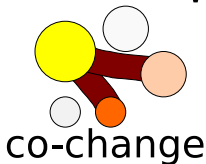


window decay

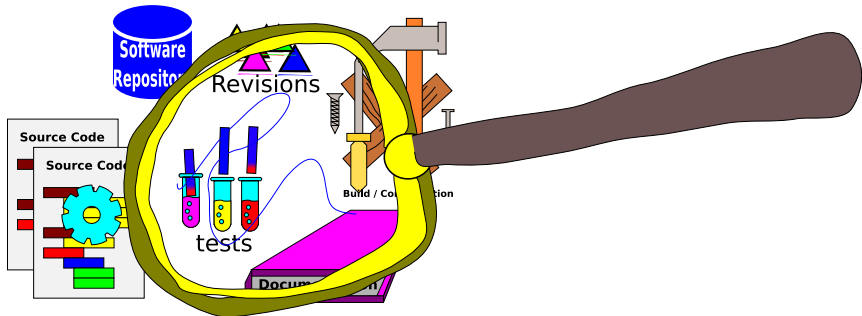
[Girba]

[Askari]

[Hassan]



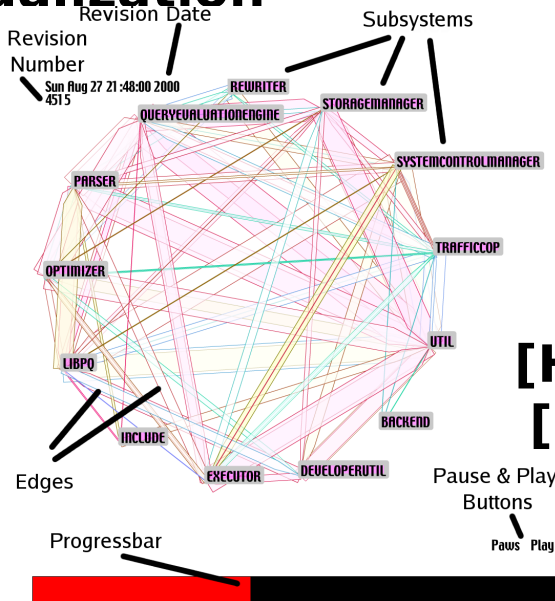
Querying



- * 1st Order Logic
- * Temporal Logic
- * Unification

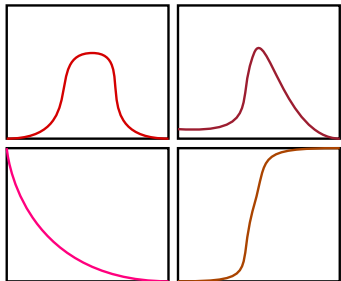
[Cubranic]
[Kim]
[Hindle]

Visualization

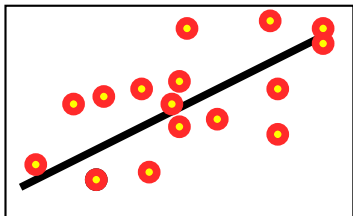


[Hindle]
[Lanza]

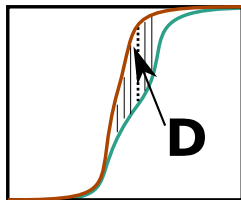
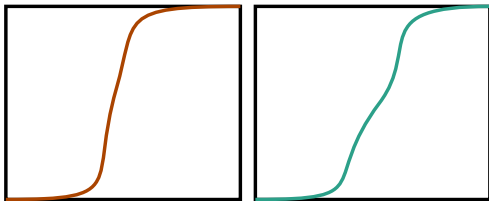
Statistics



Distributions

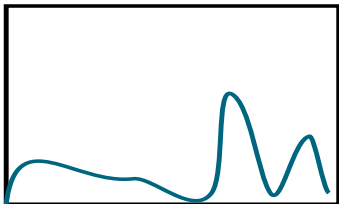


Linear Regression

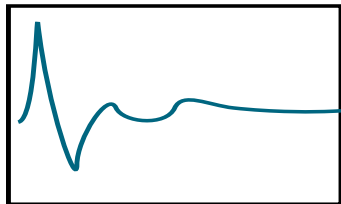


Compare
distributions

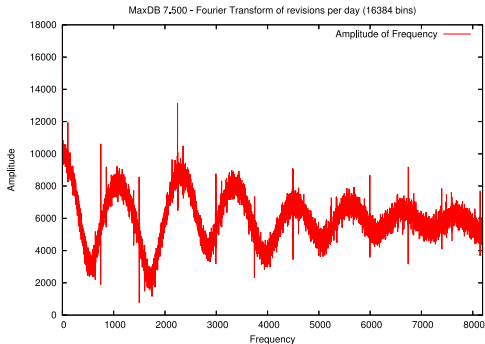
Timeseries



Timeseries

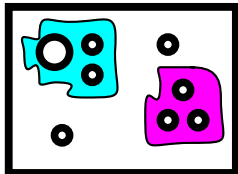
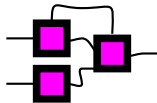
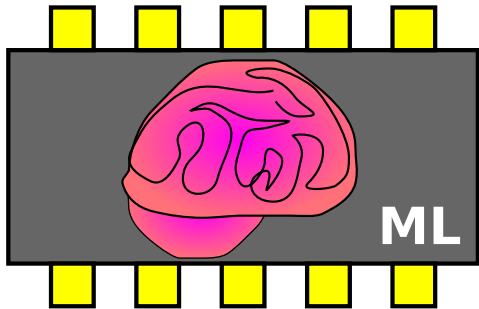


Autocorrelation

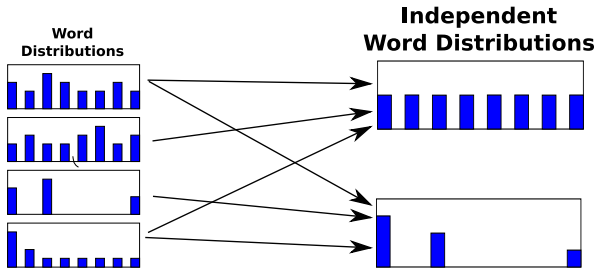
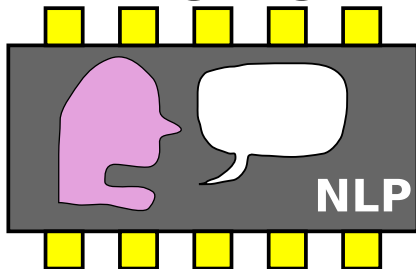


[Herraiz]
[Hindle]

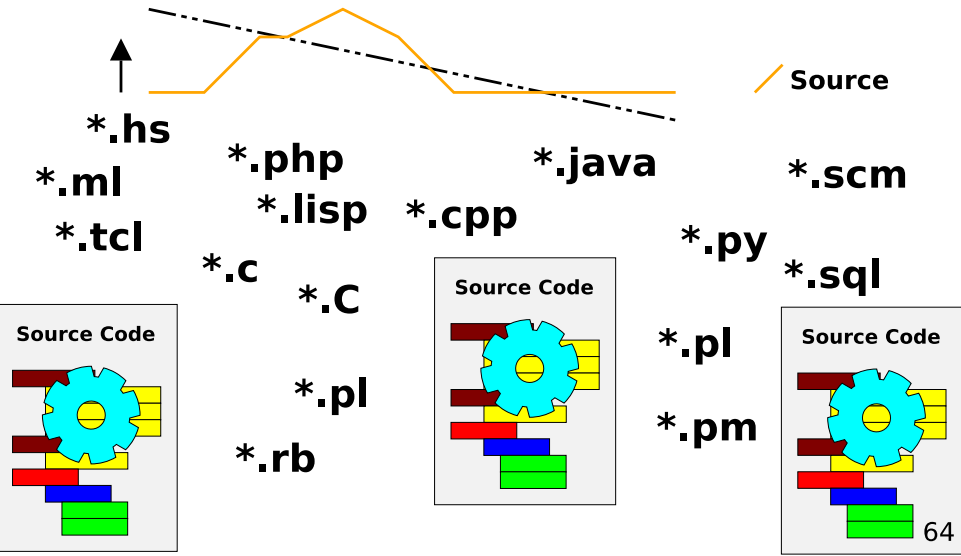
Machine Learning



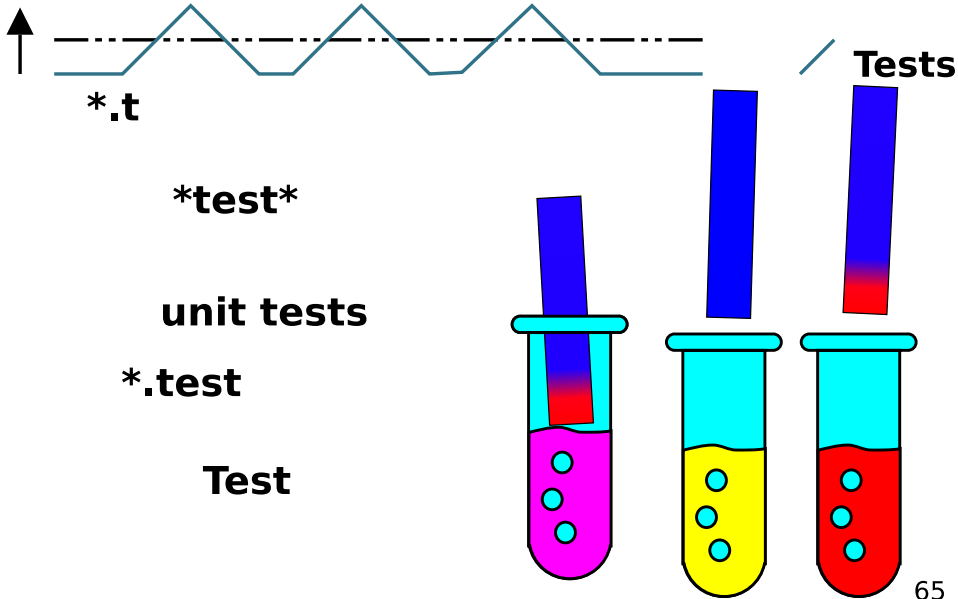
Natural Language Processing



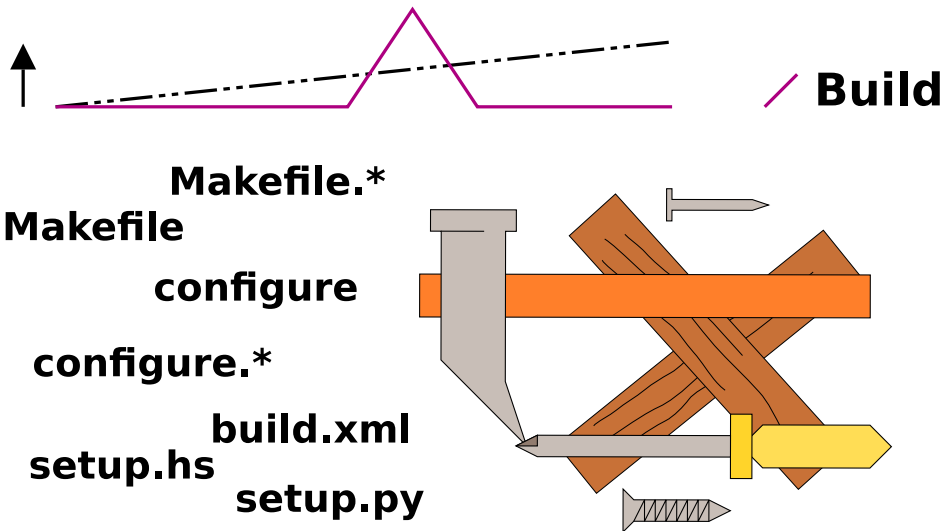
Release Patterns: Source revisions



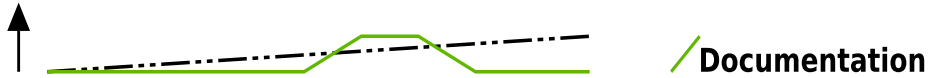
Release Patterns: Test Revisions



Release Patterns: Build file revisions



Release Patterns: Documentation Revisions



FILES

***.tex**

***.doxygen**

doc/ INSTALL

AUTHORS

README

***.txt**

TODO

maybe: *.html

***.png**

***.svg**

